



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Edward O. Shaffer II et al.

Serial No.: 09/933,535

Group Art Unit: 2811

Filed: August 20, 2001

Examiner: Unknown

For: ORGANOSILICATE RESINS AS HARDMASKS FOR ORGANIC  
POLYMER DIELECTRICS IN FABRICATION OF MICROELECTRONIC  
DEVICES

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS  
FIRST CLASS MAIL WITH SUFFICIENT POSTAGE IN AN ENVELOPE  
ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, DC 20231, ON:

16 November 2001

DATE OF DEPOSIT

Joslyn M. Damore

PRINT OR TYPE NAME OF PERSON SIGNING CERTIFICATE

*Joslyn M. Damore*

SIGNATURE OF PERSON SIGNING CERTIFICATE

16 November 2001

DATE OF SIGNATURE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

Filed herewith is the Declaration and Power of Attorney and Part 2 of the  
Notice to File Missing Parts in the above-identified patent application. The filing date  
of the application is as noted above.

The \$130.00 fee for the separate filing of this Declaration required under 37  
CFR §1.16(e) may be charged to our Deposit Account No. 04-1512. If this amount is  
incorrect, please credit or debit our Deposit Account.

Respectfully submitted,

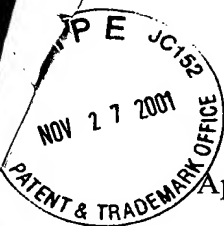
*Susan Moeller Zerull*

Susan Moeller Zerull

Registration No. 38,367

Phone: (989) 636-8858

P. O. Box 1967  
Midland, MI 48671-1967



*Shaffer*

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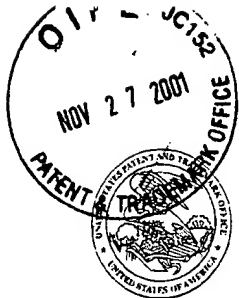
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/933,535	08/20/2001	Edward O. Shaffer II	60393B

CONFIRMATION NO. 5814

000109  
THE DOW CHEMICAL COMPANY  
INTELLECTUAL PROPERTY SECTION  
P. O. BOX 1967  
MIDLAND, MI 48641-1967

## FORMALITIES LETTER



\*OC000000006889849\*

Date Mailed: 10/11/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

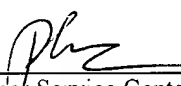
FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or ~~declaration~~ is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/29/2001 GTEFFERA 00000145 041512 09933535

01 FC:105 130.00 CH

10-23-01  
Jer